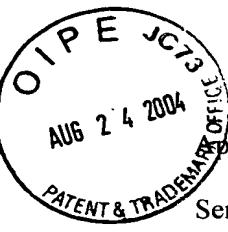


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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Bhagavatula, et al  
 Serial No: 10/699450  
 Filed: 10/31/2003  
 For: SMALL MODE-FIELD FIBER LENS

Examiner:  
 Group Art Unit: 2874

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
 UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98**

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of any non-US patent is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

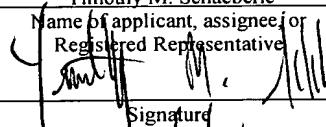
Respectfully submitted,



\_\_\_\_\_  
 Timothy M. Schaeberle  
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 Corning, NY 14831  
 607-974-3164 6/20/04  
 Date: \_\_\_\_\_

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 8/20/04 Date of Deposit

\_\_\_\_\_  
 Timothy M. Schaeberle  
 Name of applicant, assignee or  
 Registered Representative  



 \_\_\_\_\_  
 Signature 6/20/04  
 \_\_\_\_\_  
 Date of Signature

FORM PTO-1449 (MODIFIED)		ATTORNEY DOCKET NO.	SERIAL NO.
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT		SP02-275	10/699,450
		APPLICANT Bhagavatula, et al.	
		FILING DATE 10/31/2003	GROUP: 2874

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

#### FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation Yes	Translation No
	AL	03/076994	9/18/03	WO				
	AM							
	AN							
	AO							
	AP							
	AQ							

#### OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	
	AS	
	AT	
	AU	
	AV	
	AW	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.